

LEGAL INFORMATION

CAMBRIDGE ASSOCIATES AG («CA AG»)

1 SEPTEMBER 2025

Version 1.01



Legal Information

About Cambridge Associates AG

The registered office of Cambridge Associates AG («CA AG») is at Birmensdorferstrasse 140, 8003 Zurich, Switzerland. The commercial register number of CA AG is CHE-115.905.353.

CA AG is an authorised manager of collective assets pursuant to the Federal Act on Financial Institutions ("FinIA") and is, among others, subject to the rules under FinIA, the Federal Act on Financial Services ("FinSA") and the Federal Act on Collective Investment Schemes ("CISA").

CA AG provides individual portfolio management and advisory services to other institutional and professional clients, including acting as a manager of collective assets in accordance with the FinIA.

CA AG is a direct subsidiary of Cambridge Associates, LLC in the United States and principally owned by employees and clients.

Regulator

CA AG is authorised and prudentially supervised by the Swiss Financial Market Supervisory Authority FINMA.

Contact details:

Swiss Financial Market Supervisory Authority FINMA
Laupenstrasse 27
3003 Bern
Switzerland
Email: info@finma.ch
Website: www.finma.ch

Handling of complaints – Ombudsman’s office

If you have any feedback or complaints, please contact your relationship manager or your contact person. However, if you are not satisfied with the way CA AG has dealt with your concern, you may contact the Finanzombudsstelle Schweiz (FINOS).

Contact details:

Finanzombudsstelle Schweiz (FINOS)
Talstrasse 20
8001 Zurich
Switzerland
Email: info@finos.ch
Website: www.finos.ch

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Talstrasse 20

8001 Zurich

Switzerland

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Website: www.finos.ch

The aim of the ombudsman's office is, as a neutral institution, to settle disputes regarding legal claims between the client and CA AG within the framework of mediation.

General Risks of Financial Services and Financial Instruments

The risks associated with the financial services offered by CA AG are explained to the clients in the respective contracts, presentations as well as client meetings.

For general information on financial services and the risks associated with trading in financial instruments, we recommend reading the relevant brochure of the Swiss Bankers Association.

Cost Information

Costs and fees incurred by clients in connection with the services to be provided by CA AG are set out in the relevant contracts and presentations.

Conflicts of Interest

CA AG has implemented and maintains appropriate organisational measures to prevent conflicts of interests between CA AG, its employees and its clients as well as group entities. If a conflict of interest cannot be avoided, CA AG will disclose it to you in a suitable manner.

Offered Market Universe

The investment universe of CA AG for discretionary portfolio management and advisory services consists exclusively of financial instruments (namely collective investment schemes) from third-party providers.

Client Segmentation

In accordance with FinSA, financial service providers are required to assign their clients to one of three regulatory categories: private (retail) clients, professional clients, or institutional clients. Each category is subject to a different level of investor protection, particularly with respect to information duties, suitability and appropriateness obligations, as well as documentation and accountability duties.

CA AG provides financial services exclusively to professional and institutional clients. Before entering into any business relationship, CA AG will classify you based on the information available to us. You will receive a separate notice explaining the client categories and informing you of your classification.

Clients have the option to change their classification through an opting-in or opting-out declaration. Such changes may affect the level of investor protection and the regulatory duties applicable to the client relationship.

The classification assigned by CA AG generally applies to all financial services provided, unless you explicitly instruct us otherwise in writing.

Please notify us promptly of any changes in circumstances that may affect your current classification, for example, if you no longer meet the criteria for being considered a professional or institutional client, or if you wish to withdraw a previous opting-in or opting-out declaration.

Private Clients

CA AG does not provide financial services to private (retail) clients. If professional or institutional clients wish to be reclassified as private clients through an opting-in or opting-out declaration, we kindly ask that you contact your client relationship manager. In such cases, we may be required to terminate the business relationship or, if desired, assist you in transitioning to another financial service provider.

Appropriateness & Suitability

FINSA requires financial service providers that provide investment advice or portfolio management services for professional clients to perform a limited appropriateness or suitability review. In the case of professional clients, CA AG may assume that these clients have the required level of knowledge and experience and can financially bear the investment risks associated with the financial service.

CA AG is not required to conduct an appropriateness or suitability check for institutional clients.

Last update: September 2025.